



IT Professionals Curriculum

COURSEWARE

Title [IT Professional: Your Role in Compliance](#)
Code [32200B](#), [32200C](#), [32200M](#), [32200N](#)
Type Course
Description The role of IT professionals in financial institutions is no longer limited to data access and control. It includes adopting, implementing, and managing a wide range of technologies associated with regulatory compliance. This interactive course provides an overview of IT professionals' role in financial institution compliance, including why that role is expanding and what steps IT professionals can take to integrate their skills and knowledge with their institution's risk management needs.
Duration 20 min

Title [IT Professional: BSA and OFAC Requirements for Customer Identification](#)
Code [32201B](#), [32201C](#), [32201M](#), [32201N](#)
Type Course
Description This course provides an overview of Customer Identification Program (CIP) requirements in financial institutions, with special attention to how these requirements impact IT staff. In addition to the basic elements of a CIP, the course covers Currency Transaction Reports (CTR), the \$3,000 Rule, Suspicious Activity Reports (SAR), and Office of Foreign Assets Control (OFAC) requirements. The engaging learning experience features audio (optional) and video, interactive learning, and role-specific, scenario-based challenges.
Duration 30 min

Title [IT Professional: GLBA, RFPA, and Other Data Security Requirements](#)
Code [32202B](#), [32202C](#), [32202M](#), [32202N](#)
Type Course
Description Intended for IT professionals, this interactive course provides an overview of privacy and data security requirements for financial institutions and addresses the important role that the IT professional plays in helping the institution to meet these requirements. Topics include consumer privacy requirements under the Gramm-Leach Bliley Act (GLBA) and Right to Financial Privacy Act (RFPA), identity theft protection, and records retention and management.
Duration 30 min



Title [IT Professional: ACH, Reg E, and Other Regs for Electronic Banking](#)
Code [32203B](#), [32203C](#), [32203M](#), [32203N](#)
Type Course
Description This course introduces IT professionals to an important U.S. payment system for electronic funds transfers and several key regulations relevant to electronic banking. Covering the ACH Network, Regulations E, CC, and D, and the Bank Secrecy Act, the course relates compliance requirements to IT's role in supporting online, mobile, and ATM technologies. The engaging learning experience features audio (optional) and video, interactive learning, and role-specific, scenario-based challenges.

Duration 30 min

Title [IT Professional: CAN-SPAM, TCPA, and Other Marketing Requirements](#)
Code [32204B](#), [32204C](#), [32204M](#), [32204N](#)
Type Course
Description IT professionals support systems in a wide variety of customer-facing departments, including sales and marketing. This course provides an overview of marketing rules and regulations and the role IT professionals play in supporting these requirements. Regulations addressed in this course include the Equal Credit Opportunity Act (ECOA); Fair Housing Act (FHA); Fair Credit Reporting Act (FCRA); Americans with Disabilities Act (ADA); Regulation DD; Regulation Z; Regulation P; Telephone Consumer Protection Act (TCPA); Controlling the Assault of Non-Solicited Pornography and Marketing Act (CAN-SPAM); and Children's Online Privacy Protection Rule (COPPA).

Duration 30 min

Title [IT Professional: Payment Card Industry \(PCI\) Compliance](#)
IDs [32206B](#), [32206C](#), [32206M](#), [32206N](#)
Type Course
Description Payment cards are a big part of the financial services industry and maintaining payment security is required for all organizations that store, process, or transmit cardholder data, including financial institutions. This course provides an introduction to Payment Card Industry (PCI) compliance, including an overview of the six PCI Security Standards and their focus, key areas of the PCI Data Security Standard (DSS) and how it applies to financial institutions, and the role of IT professionals in supporting their institution's PCI DSS compliance needs.

Duration 25 min



Title [IT Professional: Managing UDAAP and Fair Lending Risks in Big Data](#)
Code [32207B](#), [32207C](#), [32207M](#), [32207N](#)
Type Course
Description Financial institutions have extremely large data sets that may be analyzed computationally to reveal patterns, trends, and associations, especially relating to human behavior and interactions. However, financial institutions need to be careful in how they interpret data to avoid illegal bias in decision making. This course provides an overview of UDAAP, fair lending, and other consumer compliance risks in big data and discusses best practices for managing these risks.
Duration 30 min

Title [IT Professional: Meeting AML Requirements through Data Analysis](#)
Code [32208B](#), [32208C](#), [32208M](#), [32208N](#)
Type Course
Description This course provides an overview of the purpose and requirements of the Bank Secrecy Act, USA PATRIOT Act, and OFAC with respect to suspicious activity and anti-money laundering in financial institutions. IT professionals will learn about data analysis implications for Customer Identification Program (CIP), customer due diligence, enhanced due diligence, and Suspicious Activity Reports (SARs). They also will learn about specific red flags that can be used in data monitoring and analysis to alert on suspicious activity and possible criminal behavior. The engaging learning experience features audio (optional) and video; interactive learning; and role-specific, scenario-based challenges.
Duration 25 min

Title [IT Professional: Guiding Principles for Successful Fintech Initiatives](#)
Code [32209B](#), [32209C](#), [32209M](#), [32209N](#)
Type Course
Description Intended for financial institution employees working with fintech, this interactive course provides an overview of what fintech is and how it can help the institution meet the needs and pain points of its customers. It also outlines five guiding principles for fintech initiatives that can provide learners with a consistent framework for evaluating potential technology solutions and enable them to make informed decisions.
Duration 20 mins



Title [IT Professional: Getting Ready to Partner for Fintech Success](#)
Code [32210B](#), [32210C](#), [32210M](#), [32210N](#)
Type Course
Description This interactive course helps managers and staff at financial institutions and fintechs gain practical direction and tools to successfully work together and achieve shared goals. Using video, optional audio, and practical scenarios, the course identifies key aspects of the FI/fintech partnership that both parties should address up front and summarizes a seven-step process for successful partnering.
Duration 20 min

Title [IT Professional: How to Select the Right Fintech Partner](#)
Code [32211B](#), [32211C](#), [32211M](#), [32211N](#)
Type Course
Description When a financial institution decides to pursue a fintech initiative, IT staff within the organization play a key role in evaluating potential partners and choosing the right one. This course provides IT professionals with a consistent process and practical tools for choosing the right fintech partner. Using a real-world context, the course applies a five-step approach to making the decision and includes video, audio, and interactive learning.
Duration 25 min