



# BAI Banking Series

## Deposit Compliance Officer Curriculum Plan

This Curriculum Plan recommends courses based on typical tasks completed by Deposit Compliance Officers and is intended to serve as a resource when planning curriculums or Rules. In addition to these recommended courses, there may be other training requirements of your specific regulator, state, or financial institution. The courses included here are mainly subject-based courses. It is not inclusive of [role-based](#) or [mini-courses](#) that may be more appropriate for your institution.

Recommended Courses
<a href="#">30310B</a> - Understanding Privacy: The Gramm-Leach-Bliley Act (GLBA)
<a href="#">30313B</a> - Identity Theft Prevention: Safeguarding Information
<a href="#">30302B</a> - Regulation CC: Comprehensive
<a href="#">30318B</a> - Regulation E: Key Disclosures and Liability, and EFT and Overdraft Compliance
<a href="#">30319B</a> - Regulation E: Handling Errors and Complaints
<a href="#">30325B</a> - Fair Credit Reporting Act (FCRA): Comprehensive
<a href="#">30327B</a> - Reg DD: Comprehensive
<a href="#">30329B</a> - Regulation GG: Essentials
<a href="#">30380B</a> - Unfair, Deceptive, and Abusive Acts or Practices (UDAAP): Mitigating Risk
<a href="#">30406B</a> - Community Reinvestment Act (CRA): Comprehensive
<a href="#">30501B</a> - BSA and AML: Comprehensive
<a href="#">31706B</a> - Compliance Officer: BSA Examination Management
<a href="#">31707B</a> - Compliance Officer: Fair Lending Examination Management
<a href="#">31708B</a> - Compliance Officer: CRA Examination Management

View the recommended courses by regulation and job-specific task below.

Regulation	Suggested Training Timeframe	Recommended Course(s)	Additional Courses
Bank Secrecy Act (BSA)	Annually	<a href="#">31706B</a> – Compliance Officer: BSA Examination Management <a href="#">30501B</a> – BSA and AML: Comprehensive	<a href="#">30323B</a> – CIP Procedures and Protections <a href="#">30503B</a> – SAR: Responding to the Suspicious



			<a href="#">30505B</a> – BSA Reporting: CTRs and Suspicious Activity <a href="#">30510B</a> – BSA for Money Services Businesses
Community Reinvestment Act (CRA)	Annually	<a href="#">31708B</a> – Compliance Officer: CRA Examination Management <a href="#">30406B</a> – Community Reinvestment Act (CRA): Comprehensive	
Electronic Funds Transfer Act (Reg E)	Every other year, or as changes occur	<a href="#">30318B</a> – Regulation E: Key Disclosures and Liability, and EFT and Overdraft Compliance <a href="#">30319B</a> – Regulation E: Handling Errors and Complaints	<a href="#">30320B</a> – Regulation E: The Remittance Rule
Privacy of Consumer Financial Information (Reg P)	Annually	<a href="#">30310B</a> – Understanding Privacy: The Gramm-Leach-Bliley Act (GLBA)	<a href="#">30311B</a> – Right to Financial Privacy Act (RFPA)
Fair Credit Reporting Act (FCRA)	Every other year	<a href="#">30325B</a> – Fair Credit Reporting Act (FCRA): Comprehensive	
FACT Act and ID Theft Red Flags	Annually	<a href="#">30313B</a> – Identity Theft Prevention: Safeguarding Information	
Availability of Funds and Regulation of Checks (Reg CC)	Annually	<a href="#">30302B</a> – Regulation CC: Comprehensive	<a href="#">31121B</a> – Frontline: Check Fraud Prevention <a href="#">30122B</a> – New Account Fraud
Truth in Savings Act (Reg DD)	Every other year, or as changes occur	<a href="#">30327B</a> – Reg DD: Comprehensive	
Prohibition on Funding of Unlawful Internet Gambling (Reg GG)	Every other year, or as changes occur	<a href="#">30329B</a> – Regulation GG: Essentials	



Unfair, Deceptive, or Abusive Acts or Practices (UDAAP)	Annually	<a href="#">30380B</a> – Unfair, Deceptive, and Abusive Acts or Practices (UDAAP): Mitigating Risk <a href="#">31707B</a> – Compliance Officer: Fair Lending Examination Management	
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