



# BAI Credit Union Series

## Deposit Compliance Officer Curriculum Plan

This Curriculum Plan recommends courses based on typical tasks completed by Deposit Compliance Officers and is intended to serve as a resource when planning curriculums or Rules. In addition to these recommended courses, there may be other training requirements of your specific regulator, state, or financial institution. The courses included here mainly include subject-based courses. It is not inclusive of [role-based](#) or [mini-courses](#) that may be more appropriate for your institution.

Recommended Courses
<a href="#">30301C</a> - Regulation CC: Essentials
<a href="#">30302C</a> - Regulation CC: Comprehensive
<a href="#">30310C</a> - Understanding Privacy: The Gramm-Leach-Bliley Act (GLBA)
<a href="#">30311C</a> – Right to Financial Privacy Act (RFPA)
<a href="#">30313C</a> - Identity Theft Prevention: Safeguarding Information
<a href="#">30319C</a> – Regulation E: Handling Errors and Complaints
<a href="#">30324C</a> - Fair Credit Reporting Act (FCRA): Essentials
<a href="#">30325C</a> - Fair Credit Reporting Act (FCRA): Comprehensive
<a href="#">30327C</a> - Reg DD: Comprehensive
<a href="#">30329C</a> - Regulation GG: Essentials
<a href="#">30380C</a> - Unfair, Deceptive, and Abusive Acts or Practices (UDAAP): Mitigating Risk
<a href="#">30420C</a> - Reg Z: Fundamentals for Real Estate
<a href="#">30501C</a> – BSA and AML: Comprehensive
<a href="#">30503C</a> - SAR: Responding to the Suspicious
<a href="#">30505C</a> - BSA Reporting: CTRs and Suspicious Activity
<a href="#">30510C</a> - BSA for Money Services Businesses
<a href="#">31137C</a> - Frontline: Regulation CC and Funds Availability
<a href="#">31206C</a> - Sales Leadership: Consumer Transactions
<a href="#">31400C</a> - Mortgage Origination: Marketing
<a href="#">31401C</a> - Mortgage Origination: Matching Products
<a href="#">31404C</a> - Mortgage Origination: Collecting Information and Documentation
<a href="#">31410C</a> - Mortgage Processing: Providing Disclosures
<a href="#">31412C</a> - Mortgage Processing: Verification and Documentation
<a href="#">31432C</a> - Mortgage Underwriting: Examining Capacity and Capital
<a href="#">31460C</a> - Mortgage Closing: Explaining Fees and Disclosures
<a href="#">31502C</a> - Consumer Lending: Understanding the Application Process
<a href="#">31510C</a> - Consumer Lending: Private Education Loans
<a href="#">31511C</a> - Consumer Lending: Home Equity Loans
<a href="#">31512C</a> - Consumer Lending: Credit Cards



<a href="#">31514C</a> - Consumer Lending: Marketing and Social Media
<a href="#">31520C</a> - Consumer Lending: Addressing Borrower Concerns
<a href="#">31521C</a> - Consumer Lending: Borrower Protections
<a href="#">31540C</a> - Consumer Lending: Considerations at Closing
<a href="#">20004C</a> – BSA: Exemptions and Member Identification

View the recommended courses by regulation and job-specific task below.

Regulation	Suggested Training Timeframe	Recommended Courses	Additional Courses
Bank Secrecy Act (BSA)	Annually	<a href="#">30505C</a> – BSA Reporting: CTRs and Suspicious Activity <a href="#">20004C</a> – BSA: Exemptions and Customer Identification <a href="#">30503C</a> – SAR: Responding to the Suspicious <a href="#">30501C</a> – BSA and AML: Comprehensive <a href="#">30510C</a> – BSA for Money Services Businesses	<a href="#">31305C</a> – Deposit Operations: ACH, Wire, and Remittance Transfers <a href="#">31124C</a> – Frontline: ACH, Wire, and Remittance Transfers <a href="#">31207C</a> – Sales Leadership: BSA and AML <a href="#">31610C</a> – Executive Leadership: BSA, AML, and Terrorist Financing <a href="#">31308C</a> – Deposit Operations: BSA and AML Considerations <a href="#">20204TRSC</a> – BSA: Trust Department Responsibility <a href="#">31434C</a> – Mortgage Underwriting: BSA and Suspicious Activity



			<p><a href="#">31461C</a> – Mortgage Closing: BSA and Suspicious Activity</p> <p><a href="#">31207C</a> – Sales Leadership: BSA and AML</p> <p><a href="#">31308C</a> – Deposit Operations: BSA and AML Considerations</p> <p><a href="#">20205TELC</a> – SAR: Tellers on the Alert</p> <p><a href="#">30323C</a> – CIP Procedures and Protections</p> <p><a href="#">31136C</a> – Frontline: BSA and AML</p> <p><a href="#">31522C</a> – Consumer Lending: BSA and Security Concerns</p>
Electronic Funds Transfer Act (Reg E)	Every other year, or as changes occur	<p><a href="#">30318C</a> – Regulation E: Key Disclosures and Liability, and EFT and Overdraft Compliance</p> <p><a href="#">30319C</a> – Regulation E: Handling Errors and Complaints</p>	<p><a href="#">30320C</a> – Regulation E: The Remittance Rule</p>
Privacy of Consumer Financial Information (Reg P)	Annually	<p><a href="#">30310C</a> – Understanding Privacy: The Gramm-Leach-Bliley Act (GLBA)</p> <p><a href="#">30311C</a> – Right to Financial Privacy Act (RFPA)</p>	<p><a href="#">31300C</a> – Deposit Operations: Privacy Considerations</p> <p><a href="#">31203C</a> – Sales Leadership: Deposit Products</p> <p><a href="#">31120C</a> – Frontline: Privacy Considerations</p> <p><a href="#">31902C</a> – Contact Center: Authentication and Privacy</p>



			<a href="#">31300C</a> – Deposit Operations: Privacy Considerations <a href="#">31120C</a> – Frontline: Privacy Considerations <a href="#">32008C</a> – Mortgage Servicing: Privacy and Information Security
Fair Credit Reporting Act (FCRA)	Every other year	<a href="#">30324C</a> – Fair Credit Reporting Act (FCRA): Essentials <a href="#">30325C</a> – Fair Credit Reporting Act (FCRA): Comprehensive	
FACT Act and ID Theft Red Flags	Annually	<a href="#">30324C</a> – Fair Credit Reporting Act (FCRA): Essentials <a href="#">30325C</a> – Fair Credit Reporting Act (FCRA): Comprehensive <a href="#">30313C</a> – Identity Theft Prevention: Safeguarding Information	
Availability of Funds and Regulation of Checks (Reg CC)	Annually	<a href="#">30301C</a> – Regulation CC: Essentials <a href="#">30302C</a> – Regulation CC: Comprehensive <a href="#">31137C</a> – Frontline: Regulation CC and Funds Availability <a href="#">31206C</a> – Sales Leadership: Consumer Transactions	<a href="#">31121C</a> – Frontline: Check Fraud Prevention <a href="#">30122C</a> – New Account Fraud
Truth in Savings Act (Reg DD)	Every other year, or as changes occur	<a href="#">30420C</a> - Reg Z: Fundamentals for Real Estate	<a href="#">30326C</a> – Reg DD: Essentials



		<p><a href="#">31514C</a> - Consumer Lending: Marketing and Social Media</p> <p><a href="#">31502C</a> - Consumer Lending: Understanding the Application Process</p> <p><a href="#">31510C</a> - Consumer Lending: Private Education Loans</p> <p><a href="#">31512C</a> - Consumer Lending: Credit Cards</p> <p><a href="#">31511C</a> - Consumer Lending: Home Equity Loans</p> <p><a href="#">31520C</a> - Consumer Lending: Addressing Borrower Concerns</p> <p><a href="#">31521C</a> - Consumer Lending: Borrower Protections</p> <p><a href="#">31540C</a> - Consumer Lending: Considerations at Closing</p> <p><a href="#">31404C</a> - Mortgage Origination: Collecting Information and Documentation</p> <p><a href="#">31410C</a> - Mortgage Processing: Providing Disclosures</p> <p><a href="#">31412C</a> - Mortgage Processing: Verification and Documentation</p> <p><a href="#">31460C</a> - Mortgage Closing: Explaining Fees and Disclosures</p>	
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		<a href="#">31400C</a> - Mortgage Origination: Marketing <a href="#">31401C</a> - Mortgage Origination: Matching Products <a href="#">31432C</a> - Mortgage Underwriting: Examining Capacity and Capital <a href="#">30327C</a> – Reg DD: Comprehensive	
Prohibition on Funding of Unlawful Internet Gambling (Reg GG)	Every other year, or as changes occur	<a href="#">30329C</a> – Regulation GG: Essentials	
Unfair, Deceptive, or Abusive Acts or Practices (UDAAP)	Annually	<a href="#">30380C</a> – Unfair, Deceptive, and Abusive Acts or Practices (UDAAP): Mitigating Risk	

