



BAI Banking Series

Deposit Compliance Officer Curriculum Plan

This Curriculum Plan recommends courses based on typical tasks completed by Deposit Compliance Officers and is intended to serve as a resource when planning curriculums or Rules. In addition to these recommended courses, there may be other training requirements of your specific regulator, state, or financial institution. The courses included here are mainly subject-based courses. It is not inclusive of [role-based](#) or [mini-courses](#) that may be more appropriate for your institution.

Recommended Courses
30310B - Understanding Privacy: The Gramm-Leach-Bliley Act (GLBA)
30313B - Identity Theft Prevention: Safeguarding Information
30302B - Regulation CC: Comprehensive
30318B - Regulation E: Key Disclosures and Liability, and EFT and Overdraft Compliance
30319B - Regulation E: Handling Errors and Complaints
30325B - Fair Credit Reporting Act (FCRA): Comprehensive
30327B - Reg DD: Comprehensive
30329B - Regulation GG: Essentials
30380B - Unfair, Deceptive, and Abusive Acts or Practices (UDAAP): Mitigating Risk
30406B - Community Reinvestment Act (CRA): Comprehensive
30501B - BSA and AML: Comprehensive
31706B - Compliance Officer: BSA Examination Management
31707B - Compliance Officer: Fair Lending Examination Management
31708B - Compliance Officer: CRA Examination Management
30212B – Reg II: How Interchange Fees Affect Your Institution
30220B – Branch Closure Requirements
30520B – California Consumer Privacy Act Compliance for Financial Institutions
30525B – Understanding Marketing Regulations
30211B – Foreign Account Tax Compliance Act (FATCA)

View the recommended courses by regulation and job-specific task below.



Regulation	Suggested Training Timeframe	Recommended Course(s)	Additional Courses
Bank Secrecy Act (BSA)	Annually	31706B – Compliance Officer: BSA Examination Management 30501B – BSA and AML: Comprehensive	30323B – CIP Procedures and Protections 30503B – SAR: Responding to the Suspicious 30505B – BSA Reporting: CTRs and Suspicious Activity 30510B – BSA for Money Services Businesses
Community Reinvestment Act (CRA)	Annually	31708B – Compliance Officer: CRA Examination Management 30406B – Community Reinvestment Act (CRA): Comprehensive	
Electronic Funds Transfer Act (Reg E)	Every other year, or as changes occur	30318B – Regulation E: Key Disclosures and Liability, and EFT and Overdraft Compliance 30319B – Regulation E: Handling Errors and Complaints	30320B – Regulation E: The Remittance Rule
Privacy of Consumer Financial Information (Reg P)	Annually	30310B – Understanding Privacy: The Gramm-Leach-Bliley Act (GLBA)	30311B – Right to Financial Privacy Act (RFPA)
Fair Credit Reporting Act (FCRA)	Every other year	30325B – Fair Credit Reporting Act (FCRA): Comprehensive	
FACT Act and ID Theft Red Flags	Annually	30313B – Identity Theft Prevention: Safeguarding Information	
Availability of Funds and Regulation of Checks (Reg CC)	Annually	30302B – Regulation CC: Comprehensive	31121B – Frontline: Check Fraud Prevention



			30122B – New Account Fraud
Truth in Savings Act (Reg DD)	Every other year, or as changes occur	30327B – Reg DD: Comprehensive	
Prohibition on Funding of Unlawful Internet Gambling (Reg GG)	Every other year, or as changes occur	30329B – Regulation GG: Essentials	
Unfair, Deceptive, or Abusive Acts or Practices (UDAAP)	Annually	30380B – Unfair, Deceptive, and Abusive Acts or Practices (UDAAP): Mitigating Risk 31707B – Compliance Officer: Fair Lending Examination Management	